

REPORT OF THE RESOURCE MOBILISATION COMMITTEE

SECOND ASRIC BUREAU MEETING SETEMBER 2019

I RECOMMENDATIONS OF THE BUREAU

1. THE GREEN CLIMATE FUND (GFC)

Measures were being taken by ASRIC Secretariat to ensure registration and accreditation of the STRC as the Secretariat of ASRIC as an entity to the GCF. The Secretariat was also empowered to engage the services of experts in registration and accreditation for the GCF. This is the expected first phase to pursue the required process for obtaining the Green Climate Fund. The Secretariat may wish to update the bureau on measure taken so far.

2. ACCESSING 'AFRICA CAPACITY BUILDING FUND'

The Bureau's recommendation was for the RMC to accompany the initiative of "the Scientific and Innovation Committee with the support of the Secretariat to develop a funding proposal for the ACBF under the 'Financing of full-fledged projects for Capacity Building' that is aimed at the development of ASRIC flagship projects". Some members of the RMC have been identified to work with the SIC on the project once it has been duly initiated by the SIC.

3. AFRICAN UNION RESEARCH GRANTS.

The Secretariat and the Bureau Chair initiated action and contact with the Commissioner of Human Resources, Science and Technology as recommended to integrate and consolidate all funds for the AU Research Grants as ASRIC GRANTS in accordance with the statutes of ASRIC and so as to avoid duplication and bi-polarization of activities under the Grant scheme. The Secretariat and Bureau Chair may wish to inform the Bureau of the outcome of this initiative.

4. ASRIC RESEARCH CLUSTER

The RMC is expected to accompany an initiative of the Science and Innovation Committee and the Secretariat in the process of developing **ASRIC Research Cluster**; more specifically with respect to "co-financing models including (Direct financing and indirect financing "contribution in kind)".

The RMC is yet to see what has been produced or developed as Research Cluster. However, we have envisaged 'direct and indirect financing in our revised Resource Mobilization Concept Note under the section on **Fundraising strategies** as well as in the section for **Utilization of Funds Raised** where it is now clearly stated that priority will be given to **Flagship projects and ASRIC Cluster** (and both are

duly defined.) . Thus once the Research Cluster is developed it will be accommodated

5. BANK ACCOUNT FOR ASTIF

The recommendation of the Bureau was that considering the challenges in setting up a full-fledged Fund (ASTIF) as envisaged in our concept note), and the urgency to kick-off the flagship projects, the Resource Mobilisation Committee is requested to start from a single bank account to achieve a full-fledged STI Fund by 2022.. The ASRIC Secretariat has effectively opened a single bank account to serve the FUND in the meantime, while action is undertaken to achieve setting up the ideal FUND (ASTIF). See specific proposals in our Action Plan to accompany the concept Note..

II ACTION ON THE PROVISIONS OF THE RMC CONCEPT NOTE

The first meeting of the ASRIC Bureau in March 2019 “commended the work done by the Resource Mobilization Committee and advised the further development of a concept paper to address governance and fund raising and utilization”.

In line with the Bureau ‘s advice we have restructured the Resource Mobilization Committee’s Concept Note presented in March into four components; 1.The *General information on ASRIC, its Mandate and the role of the Resource Mobilization Committee, II Establishment and Management of the Africa Science Technology and innovation fund (ASTIF); III. Fund- Raising Strategies; and IV. Utilization of Funds.* The Committee interacted with the Secretariat whose very useful input and those of some members of the Committee have led to a final document that is now available for implementation. The document is being circulated as **ASRIC RESOURCE MOBILIZATION CONCEPT NOTE.**

However, our protocol envisaged going beyond these proposals to envisage strategic actions for the proposals to ensure the operationalization of each proposal by strategies that spell out for each proposal **who** will do **what when, where** and **how.**

Members of the committee were asked to volunteer and work on any of the proposals for which they felt enough competence. This proposal has not worked and we have therefore had to distributed the work to a few committed members with demonstrated enthusiasm and knowledge and are looking forward to better results by mid-September

DISTRIBUTION OF WORK ON THE STRATEGIC ACTION PLAN OF THE RMC

I PROF ROBERT RIDLEY AND ANSELME VODOUNHESSI

- 1. Establishment and management of the ASTIF Fund (African Science, Technology and innovation fund) Page 2 and 3 of Final Concept note**
- 2. African development Institutions: Page 3 and 4 of Concept Note.**

II DR. MIMIE WATT AND THE DIASPORA TEAM

- 3. Diaspora Fund for STI in Africa: Pages 4 and 5**
- 5 International Partners and donors: page 6.**
- 6 Social Cooperate responsibility of African and International Firms: Page6**

III DR SAMUEL LUKEARU AND MS OLIVIA MUZA

- 4. Direct Application for grants and funds: Page 5**
- 7. Alternative Sources of Funds: Page 7**

IV. Lists of Names, Addresses and Contacts: (Research from Internet and other sources to establish lists of different categories of donors.

(Selected Members of the RMC to do this under the supervision of Dr Mimmie Watt and Dr Samuel Lukearu .

(i) List of Sports Legends from Africa and some of African decent

“Sports Icons for STISA”

(ii) List of Musicians and Artists from Africa and African descent

“Music icons and Artists for STISA”

(iii) African Wealthy Individuals (Forbes lists, etc.)

“Donors for STISA”

(iv) African and International Firms

V Prof Chumbow

Fund Utilization (page 9) and General supervision of I to 1V

STRATEFIC ACTION PLAN PLAN

The strategic action is in progress but I present a portion of it, the section concerning the Creation of the ASRIC FUND (ASTIF) and the commitment of member states of the African Union and African Development Institutions to the fund. Separate document attached to be presented,

The full action plan will be available before the end of September

APPROVAL OF SCHOLARS AND EXPERTS AS MEMBERS OF THE RMC

The Committee requests the approval of some experts to join the Committee and satisfy felt-needs for their expertise by the RM Committee.

Resource Mobilisation is an area that requires specific knowledge, expertise and competence. It is not sufficient to be a good scientist to be an expert in resource mobilisation. We realise that some members of our Committee came to the conference in November 2018 on the strength of their **scientific excellence** and volunteered to serve in the RMC. However despite their good will, their contributions as members of the Resource Mobilization Committee is quite limited..

Also some of the potentially rewarding proposals we have made in the Concept Note, for instance, with respect to setting up the ASTIF,(the ASRIC Fund), developing a protocol for the commitment of member states, negotiations with financial institutions like the African development bank and other companies to make good their social corporate responsibility etc. cannot be maximally achieved by the best of us. These are actions that require the input and sustained action of knowledgeable experts (if we expect to secure positive results).

We have identified the following African scholars with demonstrated knowledge and expertise to help us, both in the strategic decisions and strategic implementation actions envisaged for our proposals.

1. **Professor MAVONDO, Felix Tinoziva**, Professor of Marketing, Monash University (Australia)

BSc Agriculture, MBA Marketing, (Zimbabwe), PhD Marketing(Monash)

Fellow of the Australian- New Zealand Marketing Academy

Certified Management Consultant in Australia (**CV available**)

His **Scopus Profile** on research is excellent 144 publications , 3047 citations

His expertise in Management and marketing will be crucial in the implementation of our plans

2. **Dr Rex Daniel HARAWA** Managing Partner AMG Global, Consultant specialist in Economics and Corporate Finance, Strategy Formulation, Monitoring and Implementation: Blantyre, Malawi

BSc Economics (Malawi) 1981, MSc Econs (London School of Economics,1983 MSc (Finance and Economic, SUNY,(1986) PhD (Finance and Economics) State University of New York 1992.

Expertise in Economics, Accounting and Finance, as well as strategic planning and implementation will be very useful at this stage(**CV Available**)

3. **Jean Emmanuel PONDI** (Professor of International Relations, University of Yaounde II and Deputy Vice chancellor, U -Yaounde I

Consultant in international Relations for UN, UNESCO, OAU /AU, BBC,, Cambridge University etc. Well -known in international circles.

BSc Econs- Pol Sc SUNY (New York), MSc London School of Economic (1981), MSc Cambridge 1984, PhD Penn State 1(1986). Author of over 90 publications including over 12 books. **Specialist in international Relations and Diplomacy (CV available)**

Professor DANIELS, Chux Uzoka (PhD)

Research Fellow in Science, Technology, and Innovation Policy at the Science Policy Research Unit (SPRU), University of Sussex, UK; Visiting Associate Professor, University of Pretoria, South Africa Consultant to United Nations UNCTAD and Economic and Social Commission for Asia and the Pacific he coordinates “SPRU-Africa”, SPRU’s research and policy engagement programme with Africa, which currently include, Botswana, Ghana, Egypt, Ethiopia, Kenya, Namibia, Nigeria, Senegal, South Africa and Tanzania. Chux has delivered projects for the African Union Commission (AUC) and various national governments in Africa and beyond. His professional network and countries of working experience, cover more than 15 Africa countries; Asia-Pacific; Europe; Latin/North America; and, the Caribbean.

Subject Matter Expert, World Bank African Centres of Excellence (ACE) Development Impact

Adviser to the African Union Commission (AUC) on STI, Policy, M&E and Entrepreneurship

Qualification

- PhD, Science and Technology Policy Studies, University of Sussex, U
- MBA, Masters in Business Administration, University of Sunderland,
- MSc, Business Management, Vrije Universiteit Brussels (VUB), Belgium
- BSc, Bachelor of Agriculture, University of Nigeria, Nsukka, Nigeria

PONDI and Chux Uzoka are to use their knowledge and international connections to open doors for the other two specialists in Corporate Finance (HARAWA) and Marketing (MAVONDO) to go in and to together with us, negotiate from a position of strength

The four have been contacted and they are willing to serve in the Committee.

Their knowledge will enable us move rapidly with the strategies and implementation of the following core strategic proposals

1. **Establishment and management of the ASTIF Fund (African Science, Technology and innovation fund)**
2. **Funding from African development Institutions: .**
3. **Diaspora Fund for STI in Africa**

- 4. International Partners and donors:**
- 5. Social Cooperate Responsibility of African and International Firms**

SEED MONEY FOR THE IMPLEMENTATION OF PROPOSALS

There is need to envisage seed Money for the implementation of some strategic actions in the plan between now and December 2019 beginning with actions to establish the ASTIF fund, actions to commit AU Member countries to payment of the 1% GDP and opening up discussions with the African Development Bank etc. Contacts of personalities for the creation of the Diaspora Fund for STI in Africa, etc. can be initiated simultaneously with contacts for alternative sources of funding before the end of September with the availability of seed money for envisaged actions.

PROF BEBAN SAMMY CHUMBOW

CHAIR RESOURCE MOBILIZATION COMMITTEE